

1 Title: **WIRELESS TELEPHONE NETWORK OPTIMIZATION**

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3
4 **REFERENCE TO OTHER APPLICATION**

5 This application claims priority under 35 U.S.C. § 119(e) from provisional
6 application no. 60/149,888 filed August 23, 1999 by Graham D. Stead, entitled "Wireless
7 Telephone Network Optimization". The 60/149,888 application is incorporated by
8 reference herein in its entirety for all purposes.

9 **BACKGROUND OF THE INVENTION**

10 **1. Field of the Invention**

11 The present invention is directed to the art of wireless telephone networks. More
12 particularly, the present invention is directed to optimizing parameters of radio base
13 stations in a wireless telephone network.

14 **2. Background Information**

15 Cellular and PCS telephone services have enjoyed explosive growth over the last
16 ten years. There is no reason to believe that this growth will not continue for some time.
17 This continued growth creates a great demand for the infrastructure that supports these
18 services. As more and more people begin to use wireless telephones, more and more fixed
19 location base stations must be installed across the landscape to handle the rising demand
20 for wireless traffic.

21 Each wireless telephone base station has a plurality of transceivers, each connected
22 to a respective antenna. The electromagnetic radiation pattern of each of these antennas
23 defines the coverage area of a "sector." Each sector in the wireless network has some

1 degree of overlap with one or more nearby sectors, and in the aggregate, the coverage
2 areas of all the sectors in the network define coverage area of the network as a whole.

3 One difficulty in establishing a network of base stations is that the aggregate
4 coverage provided by the sectors is not perfect. It may have weak spots, or self-
5 interference spots, where wireless telephony functions at a substandard level or it may
6 even have dead spots where no wireless calls can function at all. Such problems can be
7 rectified by optimizing the sectors to attempt to cover the weak and/or dead spots in
8 wireless coverage. Coverage optimization may be accomplished by varying a number of
9 parameters for each sector. One parameter to vary is the azimuth angle at which the
10 antenna for the sector is pointed. Other parameters to vary are the antenna height (moving
11 the antenna higher or lower on its tower, host building, or other supporting structure), the
12 angle of tilt of the antenna (useful in uneven terrain locations), and the amount of power
13 radiated by the antenna. Additionally, the option is also available to substitute a different
14 type of antenna (different model or different manufacturer entirely) in order to obtain
15 better coverage results.

16 This optimization process is laborious and time consuming. Each time a network
17 engineer wants to change four of the five above-identified parameters of a sector (azimuth,
18 height, tilt, antenna type), someone has to climb up a tower (or other support structure)
19 and physically make an adjustment to the antenna. Only power changes can be made
20 without a need to get at the antenna. Once a parameter has been varied, a fresh set of
21 signal strength measurements must be made by physically driving around the relevant
22 terrain with a measurement device to map out how the parameter change has affected
23 coverage. After analyzing the measurements, another parameter (perhaps for a different

1 sector) can then be varied. This iterative process of vary-measure-vary-measure is
2 repeated over and over again until an optimum result is obtained. It takes a long time and
3 relies upon highly skilled workers to accomplish.

4 Thus, what is needed is a labor-saving and time-efficient way to develop optimum
5 coverage-related parameters for sectors of a wireless network.

6 A wireless telephone often communicates via a number of sectors in succession in
7 the course of a single telephone call via a process called hand-off. In simple terms, one
8 sector will transfer to a neighboring sector the responsibility for handling the wireless
9 telephone call. A hand off may be necessitated because the wireless telephone unit is
10 portable and has moved out of the effective range of the sector that had been heretofore
11 handling the call, or it may be necessitated due to high demand for the limited number of
12 channels that the sector can provide. This is (ideally) done in a seamless manner such that
13 the user of the telephone never notices any discontinuity in service.

14 In order for call hand offs between sectors to be performed effectively, a number of
15 parameters of the hardware supporting each sector need to be optimized. One parameter is
16 called a "neighbor list." Each sector has a neighbor list, which is a ranked listing of
17 neighboring sectors to which hand offs may most appropriately be made. The ranking of
18 members in a neighbor list is an important factor in enabling effective hand offs.
19 However, prior art practice is for a network engineer to simply make an educated guess as
20 to which neighboring sectors should be included as members of the neighbor list of a
21 given sector, as well as how to rank the members of the list by importance. Prior art
22 practice does not include a rigorous analysis of how members of a neighbor list should be
23 ranked, or even which neighboring sectors should be included as members of the list.

1 Another parameter relevant to hand off effectiveness in CDMA wireless networks
2 is "window size." Window size is a parameter that is set for each sector uniquely. This
3 parameter tells a mobile wireless telephone unit how wide a "window" of code space (in
4 chips) the mobile unit should search through in order to attempt to synchronize with the
5 PN (pseudo noise) sequence of a given sector. As a general rule, it is desirable to set the
6 window size parameter to be the smallest size that will give an acceptable rate of capture
7 of the PN sequence of the sector.

8 The prior art provides no satisfactory device or process for optimizing choices of
9 window size for the sectors in a network. As with coverage optimization, a network
10 engineer must program the window size parameter at each sector based on his or her best
11 guess as to what should be an optimum value.

12 A related concept in time division type wireless networks (e.g., GSM, TDMA,
13 iDEN) is the "timing advance" parameter. Timing advance is an analogous concept to the
14 window size parameter of CDMA networks, but is directed to finding an appropriate time
15 slot rather than to code synchronization. The prior art does not provide a suitable way to
16 optimize timing advance, either, leaving network engineers to guess their way to an
17 optimum solution. Such a haphazard optimization technique is not an efficient use of the
18 time of highly skilled workers.

19 Thus, what is needed is an effective way to optimize hand off timing parameters
20 for sectors in a wireless network.

21 SUMMARY OF THE INVENTION

22 It is an object of the present invention to provide a labor-saving and time-efficient
23 way to develop optimum coverage-related parameters for sectors of a wireless network.

1 To address the need for a way to develop optimum coverage-related parameters for
2 sectors of a wireless network, the present invention provides a simulation environment.
3 This simulation environment allows a network engineer to vary parameters of a virtual
4 model of the wireless network and observe how the changes affect coverage.

5 It is another object of the present invention to provide algorithms to optimize hand
6 off timing parameters for sectors in a wireless network.

7 To address the need for a way to optimize hand off timing parameters for sectors in
8 a wireless network, the present invention provides an optimization algorithm. The
9 optimization algorithm analyzes measured data regarding network coverage and regional
10 terrain to arrive at a report containing recommended values for window size parameters
11 (code division systems) or timing advance parameters (time division systems). The
12 optimization algorithm analyzes measured data regarding network coverage and regional
13 terrain to arrive at a report containing recommended neighbor lists for each sector.

14 Some of the above objects are obtained by a process of modeling signal strength
15 coverage of a wireless network based on empirical coverage measurements for the
16 network over a region of interest, based on user inputs, and based on terrain data in the
17 region of interest, the network having plural base station antennas. The process includes
18 mapping the empirical coverage measurements onto the terrain data to provide an initial
19 coverage model, and receiving from a user an input for change of a parameter of one of
20 the antennas. The process also includes generating outputs of signal strength at points on
21 the terrain that are affected by the parameter change, and modifying the initial coverage
22 model based on the generated outputs of signal strength to provide a hypothetical coverage
23 model.

1 Some of the above objects are also obtained by a process of generating a neighbor
2 list for a sector-of-interest in a wireless network based on empirical measurements of
3 signal to noise ratio. The process includes calculating a weight for every pair wise
4 combination of the sector-of-interest other network sectors between which a
5 predetermined threshold signal level criteria, T_ADD, is met. The process also includes
6 ordering the calculated weights from largest to smallest, and listing the sectors that meet
7 the T_ADD criteria with respect to the sector-of-interest in rank order corresponding to the
8 ordered calculated weights.

9 Some of the above objects are also obtained by a process of selecting a value of
10 window size for a sector-of-interest in a code division multiple access wireless network.
11 The process includes selecting the earliest arriving multipath signal of all sectors that meet
12 the threshold criteria $E_c/I_o > T_ADD$, wherein T_ADD is a predetermined threshold
13 signal level, and selecting a pair of sectors, ToSector and FromSector, that meet the
14 threshold criteria $E_c/I_o > T_ADD$. The process also includes setting a window size of
15 FromSector = chip delay of ToSector - chip delay of the earliest arriving multipath sector,
16 evaluating whether the window size of FromSector > maximum window size, and in the
17 event that the window size of FromSector is greater than the maximum window size, then
18 set maximum FromSector window size = the window size of FromSector.

19 Some of the above objects are also obtained by a process of generating a value of
20 timing advance for a sector-of-interest in a time division-type wireless network. The
21 process includes selecting a sector, FromSector, with a sufficient Received Signal Strength
22 Indication (RSSI) to serve a call, calculating the distance to FromSector, and setting
23 timing advance of FromSector = one half the distance to FromSector. The process also

1 includes evaluating whether FromSector's timing advance > maximum timing advance,
2 and in the event that FromSector's timing advance is greater than the maximum timing
3 advance, then set maximum FromSector timing advance = FromSector timing advance.

4 **BRIEF DESCRIPTION OF THE DRAWINGS**

5 **Fig. 1** illustrates a high-level flow chart for performing simulation according to an
6 embodiment of the present invention.

7 **Fig. 2** illustrates an antenna dialog box according to an embodiment of the present
8 invention.

9 **Fig. 3** illustrates a user interface for inputting proposed changes to the network's
10 parameters and displaying simulation results according to an embodiment of the present
11 invention..

12 **Fig. 4** illustrates a detail view of the sector select window of **Fig. 3**.

13 **Fig. 5** illustrates a map output display according to an embodiment of the present
14 invention.

15 **Fig. 6** illustrates a graph output display according to an embodiment of the present
16 invention.

17 **Fig. 7** illustrates an optimized neighbor list generated according to one aspect of
18 the present invention.

19 **Fig. 8** illustrates a flowchart for an algorithm to ascertain an appropriate window
20 size for a sector of a CDMA wireless network according to another aspect of the present
21 invention.

1 **Fig. 9** illustrates a flowchart for an algorithm to ascertain an appropriate timing
2 advance for a sector of a time division-type wireless network according to yet another
3 aspect of the present invention.

4 **DETAILED DESCRIPTION OF THE INVENTION**

5 Several types of input information are initially gathered together to create a virtual
6 environment for purposes of simulation of a wireless network. Once the baseline
7 representing the *status quo* is established, a user is able to perform simulations by varying
8 one or more parameters from those that exist in reality. The varied parameters have many
9 affects on performance of the system, and these effects are modeled by the present
10 invention.

11 Referring to **Fig. 1** a high-level flow chart illustrates how simulation is done
12 according to the present invention. Data gathered by a pilot scanner (gathered over days
13 or even weeks of "drive" tests) is used to provide a comprehensive mapping 10 of signal
14 strengths of the sectors of a wireless network in a given region. A user then proposes
15 "what if" changes 20 to the parameters of the network. The pilot scanner data and the
16 proposed "what if" changes are utilized along with data pertaining to antennas used (or
17 that may be used) in the network and three dimensional cartographic data 30 as inputs to
18 an interference engine 40. The interference engine 40 is an algorithm that takes the above-
19 described inputs and generates "what if" outputs of signal strength at points on the terrain
20 that are affected by the proposed "what if" changes. In addition to measured RF data
21 (from drive tests), antenna data, and terrain data, MSC information may also be input.

22 Referring to **Fig. 2**, an antenna dialog box is illustrated. Via the antenna dialog
23 box, antenna data is made available for a user to select as input data. A particular antenna

1 may be selected according to manufacturer and model number **60**. Textual information is
2 displayed **80** for the user's consideration, along with graphical displays of an antenna's
3 horizontal gain **50** and vertical gain **70**.

4 Referring to **Fig. 3**, a user interface for inputting proposed changes to the
5 network's parameters is illustrated. A "before" plot of E_C/I_0 versus time **110** is displayed
6 adjacent an "after" plot of E_C/I_0 versus time **120**. A sector select window for varying
7 parameters of selected sectors **130** is shown along side the signal versus time plots **110**,
8 **120**. The illustrated example shows that sector number 405-2 has been selected and that
9 one parameter, antenna downtilt, has been changed from 6 degrees to 8 degrees. The
10 signal strength plot for sector 405-2 is highlighted in red in both plots **110**, **120**. It is clear
11 from inspection of the after plot **120** to the before plot **110** that the proposed antenna
12 downtilt change would have a markedly bad affect on the performance of the sector.

13 Referring to **Fig. 4**, a detail of the sector select window **130** is illustrated to provide
14 a detailed view of how various parameters of a selected sector can be varied for
15 simulation. A selection button **405** provides for a user to select any sector in the wireless
16 network for proposed parameter changes.

17 The antenna azimuth parameter may be changed via the azimuth slide control **425**,
18 the actual azimuth value being displayed in brackets **410** and the proposed value **415** being
19 displayed adjacent the azimuth slide control **425**. The antenna height parameter may be
20 changed via the height slide control **440**, the actual height value (shown in meters) being
21 displayed in brackets **430** and the proposed value **435** being displayed adjacent the height
22 slide control **440**. The antenna downtilt parameter may be changed via the downtilt slide

control 455, the actual downtilt value being displayed in brackets 445 and the proposed value 450 being displayed adjacent the downtilt slide control 455.

The sector transmission power parameter may be changed via the power delta (i.e., change in power) slide control 465, the original power delta value (zero) is displayed in brackets 460 and the proposed power delta value 470 is displayed adjacent the power delta slide control 465. The user is also free to change the type of antenna being used in the simulation. The actual *status quo* antenna type is displayed in brackets 475 and the selected antenna type is displayed 480 under the "antenna" label. Selections of antenna types are made via the antenna dialog box shown in Fig. 2.

Simulation is performed by numerical calculations performed by an interference engine. The simulation algorithm receives input information in the following form:

- The list of sectors the user wants to change. The simulation needs the old and new power/height/downtilt/azimuth for every sector changed.
- The following measurements at each location where the user wants to simulate the change:
 - $E_c R_c$ — pilot channel power for sector i (units dBm)
 - $(E_c/I_o)_i$ — pilot channel signal-to-noise ratio for sector i (units dB)
 - $I_o W$ — total received power at this location (units dBm)

The input measurements are typically received in units of dB or dBm, which are nonlinear (logarithmic) units. As most of the calculations disclosed are in linear units, a conversion from logarithmic to linear units would be necessary.

Once the input data has been properly initialized, the following process steps are performed:

1) Use pilot channel powers to find X , where X is defined as:

$$X = I_o W / \text{sum}(Ec_i Rc)$$

2) For each sector whose power or antenna has changed, calculate the new $Ec_i Rc$, which is denoted as $Ec_i Rc'$, after antenna changes:

$$\begin{aligned} Ec_i Rc' = & Ec_i Rc - \text{oldAntennaGain at LOS path from antenna to this location} \\ & + \text{newAntennaGain at LOS path from antenna to this location} \\ & - \text{oldPower for this sector} \\ & + \text{newPower for this sector} \end{aligned}$$

[NOTE: This calculation is written for dB units instead of linear units]

3) Calculate the new total received power, $I_o W'$, at this location after antenna changes:

$$I_o W' = X \cdot \text{sum}(Ec_i Rc')$$

4) Find the new E_c/I_o value, for a sector i , at this location after antenna changes:

$$(E_c/I_o)_i' = Ec_i Rc' / I_o W'$$

5) Perform this for every location that contains measurements from changed sectors.

Once the algorithm has been performed for all changed sectors, the resulting simulation data, $Ec_i Rc'$, $(E_c/I_o)_i'$ and $I_o W'$, needs to be converted back into logarithmic units (dB or dBm units). These are the results of the simulation that the user will see. The above formulas are preferred simplifications based on a rigorous mathematical derivation.

Simulation outputs are provided as signal strength maps, either two dimensional or virtual reality, as tables of numerical data, and as charts. Referring to Fig. 5, an example, according to an embodiment of the present invention, of a two-dimensional map simulation output is illustrated. Referring to Fig. 6, an example, according to an embodiment of the present invention, of a graph output is illustrated.

The present invention also performs automated optimization of parameters affecting hand off, and generates reports of such automated optimization results.

09642201-032100

1 One parameter that is automatically optimized according to the present invention is
2 Window Size in a CDMA system. As a general rule, it is desirable to set the window size
3 parameter to be the smallest size that will give an acceptable rate of capture of the PN
4 sequence of the sector. Since the prior art provides no satisfactory device or process for
5 optimizing choices of window size for the sectors in a network, network engineers have no
6 choice but to program the window size parameter at each sector based on a best guess as to
7 what may be an optimum value.

8 The present invention provides an algorithm that predicts optimum window size
9 based on empirical measurements. The input parameters to the algorithm are E_c/I_0 , pilot
10 channel SNR for a given sector, measured delay time τ from the base location to a given
11 measuring location, and the location information itself. Another factor that affects the
12 algorithm is an assumption that is made as to which particular sector in the network
13 provides the reference time for the hypothetical mobile unit to be handed off.

14 Referring to **Fig. 8**, a flowchart for an algorithm to ascertain an appropriate
15 window size for a subject sector of a CDMA wireless network is illustrated. The
16 algorithm is applied to empirical drive test data. Multipath signals of all sectors are
17 evaluated to see if they meet the threshold criteria $E_c/I_0 > T_ADD$, and then the earliest
18 arriving is selected **810** therefrom. A pair of sectors, ToSector and FromSector, are
19 selected **820**, which meet the threshold criteria $E_c/I_0 > T_ADD$. The window size of the
20 subject sector (i.e., FromSector's window size) is set **830** to a value that is equal to
21 ToSector's chip delay, less the chip delay of the earliest arriving multipath sector. An
22 evaluation is then made **840** as to whether FromSector's window size is greater than the
23 maximum window size of the subject sector. If it is, then the maximum FromSector

1 window size is set **850** to equal to the window size for the subject sector. If it is not, then
2 no action is taken.

3 In either case, an evaluation is then made **860** as to whether this is the last sector
4 measured at a given location. If not, then the algorithm loops back to the step of selecting
5 **820** a pair of sectors, ToSector and FromSector. If so, then the algorithm proceeds on to
6 the next measurement location **870** and continues to repeat the algorithm as described
7 above. The algorithm is exhausted **880** when the last measurement location has been
8 exhausted.

9 A related concept in time division type wireless networks (e.g., GSM, TDMA,
10 iDEN) is the "timing advance" parameter. Timing advance is an analogous concept to the
11 window size parameter of CDMA networks, but is directed to finding an appropriate
12 sector signal transmission timing advance rather than to code synchronization.
13 Calculation of optimum timing advance is performed in an analogous manner as to
14 window size.

15 Referring to **Fig. 9**, a flowchart for an algorithm to ascertain an appropriate timing
16 advance for a sector of a time division type wireless network is illustrated. The algorithm
17 is applied to empirical drive test data. A sector, FromSector is selected **910**, with a
18 sufficient Received Signal Strength Indication (RSSI) to serve a call. The distance to
19 FromSector is then calculated **920**. The timing advance of the subject sector (i.e.,
20 FromSector's timing advance) is set **930** to a value that is equal to be half of the calculated
21 distance. An evaluation is then made **940** as to whether FromSector's timing advance is
22 greater than the maximum timing advance of the subject sector. If it is, then the maximum

1 FromSector timing advance is set 950 to equal to the timing advance for the subject sector.

2 If it is not, then no action is taken.

3 In either case, an evaluation is then made 960 as to whether this is the last sector
4 measured at a given location. If not, then the algorithm loops back to the step of selecting
5 920 a sector of sufficient RSSI. If so, then the algorithm proceeds on to the next
6 measurement location 970 and continues to repeat the algorithm as described above. The
7 algorithm is exhausted 980 when the last measurement location has been exhausted.

8 Each sector in a wireless network has a neighbor list. Conventionally, the neighbor
9 list was input by a network engineer making a judgement call as to what looked like the
10 best prioritization of which neighboring sectors were most relevant to the subject sector
11 for purposes of making hand offs of calls. For the wireless network to operate effectively,
12 it is important that the prioritization of members of the neighbor list for each sector be
13 accurate.

14 The primary factor in determining ranking of neighbor list members is a quantity
15 called "weight." Weight is calculated, with respect to two neighbor sectors "a" and "b", as
16 follows:

17
$$\text{weight}_{a \rightarrow b} = \sum_{i=1}^n 10^{\{[(E_C/I_0(a,i) - T_ADD) + (E_C/I_0(b,i) - T_ADD)]/10\}}$$

18
19

20 In this equation E_C is the energy per chip in the relevant pilot channel (a or b in this
21 example), I_0 is the total noise power spectral density, E_C/I_0 is the signal-to-noise ratio of
22 each sector at each location, and T_ADD is a predetermined threshold signal level. The
23 value of n represents the number of locations over which summation is to occur.

1 This weight calculation is calculated for every pair wise combination of sectors
2 between which the T_ADD threshold criteria is met. The input information for this
3 formula is the empirical measurements of E_C/I_0 .

4 Referring to **Fig. 7**, a table is shown that comprises an output report according to
5 the automatic optimization aspect of the present invention. The Sector Name column lists,
6 in descending rank order, the ten sectors that make up the Neighbor List for sector number
7 161-3. The SRCH_WIN_N column lists the optimized search window sizes for the
8 sectors on the Neighbor List.

9 Additionally, the present invention generates a Neighbor Discrepancy List, which
10 is a comparison of the Neighbor List before optimization and the Neighbor List after
11 optimization.

12 Although the present invention has been described in terms of preferred
13 embodiments, various modifications and variations may be made without departing from
14 the scope of the invention, as will be understood by those of skill in the art. The present
15 invention is limited only by the appended claims.